



**BROADNORTH**

Global Investment & Private Banking Group | Est. 1998

www.broadnorth.com  
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# BROADNORTH

Global Investment & Private Banking Group

## CORPORATE PROFILE & INVESTMENT GUIDE | 2026

Washington, United States · Founded 1998 · \$500B+ AUM

**\$500B+**

Assets Under Management  
& Advisory

**1998**

Year Founded  
Washington, USA

**6+**

Global Offices  
Key Financial Hubs

**27+**

Years of Excellence  
Unbroken Track Record



*A globally integrated capital platform bridging institutional capital, private wealth, emerging technologies, and infrastructure at sovereign scale — serving those who demand nothing less than excellence.*

— BroadNorth Mission Statement

## 01 COMPANY OVERVIEW



BroadNorth is a premier global investment and private banking group headquartered in Washington, United States. Established in 1998 with initial committed capital of \$50 million, the firm has evolved over more than two decades into a diversified global financial institution managing and advising on approximately \$500 billion in assets across world markets. What began as a highly focused boutique advisory practice has grown into a multi-division powerhouse spanning hedge funds, private equity, real estate, technology infrastructure, private banking, citizenship advisory, securities, energy, and corporate legal services.

The firm combines disciplined risk management frameworks with long-term strategic capital allocation, consistently delivering institutional-grade execution across jurisdictions. BroadNorth's philosophy is anchored in three core principles: prudent capital preservation, strategic growth through visionary asset allocation, and cross-border financial excellence. These principles have guided the firm through multiple economic cycles — from the dot-com era through the 2008 financial crisis, and into today's AI-driven digital economy — emerging each time with greater scale, deeper expertise, and an expanded client mandate.

Headquarters	Contact	Presence
Washington, United States	cmc@broadnorth.com www.broadnorth.com	USA · HK · SG · CH · ME + Offshore Jurisdictions

## 02 HISTORY & CORPORATE EVOLUTION

YEAR	MILESTONE	KEY DEVELOPMENTS
1998	Foundation	Established as a boutique private investment banking firm with \$50M committed capital, focusing on private placements, corporate advisory, and growth equity investments.
2001	Early Growth	Balance sheet expanded to \$300M through strategic growth equity deals, real estate acquisitions, and winning major institutional advisory mandates across the US.
2005	Banking Entry	Strategic merger with a community banking institution expanded total assets to ~\$8B, marking formal entry into regulated lending and deposit-taking activities.
2008	National Scale	Weathered the global financial crisis while competitors faltered. Reached 500,000 active clients and \$18B AUM. Expanded into global equity markets and structured wealth products.
2010s	Tech Supercycle	Early investments in transformative internet and technology companies generated cumulative returns exceeding 6,000%, accelerating asset growth into the hundreds of billions.
2015–26	AI & Digital	Strategic positions in AI infrastructure, semiconductors (including NVIDIA), cloud computing, digital assets, and blockchain ecosystems drove sustained portfolio outperformance.



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2026

Today

\$500B+ AUM and advisory. Nine integrated divisions. Six global offices.  
Serving HNWI, institutions, and governments worldwide.



## 03 OUR SERVICES — INVESTMENT & CAPITAL MARKETS

BroadNorth offers a comprehensive suite of financial services engineered for complexity, scale, and performance. Each service line is staffed by specialists with decades of domain expertise backed by the firm's global infrastructure and institutional-grade risk management capabilities.

### BroadNorth Capital — Multi-Strategy Hedge Fund Platform

Our flagship hedge fund platform deploys capital across a diversified spectrum of strategies, from global macro and systematic quantitative approaches to event-driven and relative value trades. The platform manages client assets with a rigorous risk-overlay framework, providing downside protection while capturing asymmetric return opportunities across global markets.

- › Global Macro: directional positions in currencies, rates, commodities, and sovereign debt based on macroeconomic analysis
- › Long/Short Equity: sector-focused stock selection with dynamic hedging overlays across US, European, and Asian exchanges
- › Systematic/Quant Strategies: algorithmic models leveraging machine learning and alternative data for alpha generation
- › Event-Driven: merger arbitrage, special situations, and corporate restructurings for risk-adjusted opportunistic returns
- › Structured Products: bespoke structured notes and derivatives tailored to specific client risk/return profiles
- › Alternatives: private credit, insurance-linked securities, and volatility strategies for portfolio diversification

### BroadNorth Private Equity — Growth Capital & Buyouts

Our private equity division partners with founders, family offices, and corporate boards to unlock long-term value through capital deployment, strategic guidance, and operational enhancement. We pursue control and co-investment opportunities across growth equity, leveraged buyouts, and distressed situations in sectors where we hold genuine informational advantage.

- › Leveraged Buyouts: acquiring controlling stakes in mature businesses with strong free cash flow and operational improvement potential
- › Growth Equity: minority or majority investments in high-growth companies requiring capital for expansion, M&A, or market entry
- › Family Business Transitions: succession planning, buyout financing, and restructuring advisory for family-owned enterprises
- › Strategic Acquisitions: identifying and executing bolt-on and platform acquisitions for portfolio companies
- › Distressed & Special Situations: acquiring undervalued or distressed assets with clear turnaround catalysts
- › Co-Investment Programs: offering qualified investors direct co-investment access alongside BroadNorth PE funds



## BroadNorth Securities — Capital Raising & IPO Advisory

Our securities division provides end-to-end capital markets advisory, from pre-IPO structuring through primary issuance, secondary offerings, and ongoing institutional distribution. We serve both issuers seeking to raise capital and institutional investors seeking access to quality investment-grade opportunities.

- › IPO Structuring & Execution: full-cycle advisory from prospectus preparation and regulatory filings to roadshow management and pricing
- › Private Placements: Rule 144A, Regulation D, and cross-border private placements for equity and debt instruments
- › Secondary Offerings: block trade execution, accelerated bookbuilds, and registered follow-on offerings
- › Debt Capital Markets: investment-grade and high-yield bond issuance, convertible note structuring, and syndicated loans
- › Institutional Brokerage: prime brokerage services, equity research distribution, and order management for institutional clients



## 04 OUR SERVICES — REAL ESTATE, ENERGY & TECHNOLOGY

### BroadNorth Real Estate — Institutional Property Investment

Our real estate division manages a globally diversified portfolio of institutional-quality properties, spanning core income-generating assets, value-add repositioning plays, and development-stage opportunities. The team combines local market expertise with a global perspective to source, underwrite, and manage real estate assets across the full risk spectrum.

- › Core & Core-Plus: stabilized income-producing office, logistics, multifamily, and retail assets in gateway global cities
- › Value-Add Acquisitions: acquiring underperforming or transitional assets with identifiable repositioning potential and active management
- › Real Estate Development: ground-up development of mixed-use, residential, and commercial projects through trusted developer partnerships
- › Land Banking: strategic acquisition and long-term holding of high-potential land parcels in growth corridors
- › Institutional Property Portfolios: portfolio assembly and management for institutional investors seeking stable, inflation-linked returns
- › Real Estate Debt: mezzanine lending, bridge financing, and preferred equity structures providing fixed income with real estate security

### BroadNorth Energy & Mega Projects — Infrastructure at Scale

Our energy division focuses on large-scale infrastructure and energy projects that deliver long-duration, predictable cash flows with sovereign or institutional credit support. We advise and co-invest alongside governments, national oil companies, and global energy majors in projects that shape the energy landscape of entire nations.

- › Oil & Gas Upstream: equity participation, reserve-backed lending, and development financing for conventional and unconventional assets
- › LNG & Midstream Infrastructure: liquefied natural gas terminals, pipelines, and processing facilities with long-term offtake agreements
- › Renewable Energy: large-scale solar, wind, and hydropower project finance and equity co-investment globally
- › Power Generation & Distribution: utility-scale power plants and grid infrastructure serving sovereign and municipal clients
- › Government-Backed Mega Projects: advisory and co-investment on sovereign infrastructure mandates in the Middle East, Africa, and Asia
- › Energy Transition Advisory: decarbonization strategy, carbon credit structuring, and ESG-aligned energy portfolio transformation

### BroadNorth Technology & AI Infrastructure



Technology has been central to BroadNorth's investment thesis for over two decades. Today, our dedicated technology and AI division invests in the foundational infrastructure that powers the digital economy — from hyperscale data centers and semiconductor supply chains to frontier AI models and blockchain ecosystems.

- › AI Infrastructure: investments in compute clusters, GPU farms, and AI training infrastructure backing leading model developers
- › Data Centers: hyperscale and edge data center development and acquisition across Tier 1 and emerging markets
- › Semiconductor Investments: strategic exposure to chip designers, fabs, and packaging companies powering the AI revolution
- › Cloud & SaaS: growth equity in enterprise cloud platforms, vertical SaaS businesses, and developer tool companies
- › Digital Assets & Blockchain: institutional-grade exposure to digital asset markets, DeFi protocols, and Web3 infrastructure
- › Venture Capital Platform: early-stage investment in Series A-C technology companies with global scaling potential



## 05 OUR SERVICES — PRIVATE BANKING, LEGAL & CITIZENSHIP

### BroadNorth Private Bank — Offshore & International Banking

BroadNorth Private Bank delivers white-glove private banking services to ultra-high-net-worth individuals and families through a network of partner institutions and proprietary platforms in the world's most respected financial centers. Our private banking team offers a seamless, confidential, and fully integrated wealth experience across multiple jurisdictions.

- › Swiss Private Banking: access to discretionary and advisory mandates with Swiss-regulated institutions for maximum confidentiality and asset protection
- › Singapore Wealth Structures: family office establishment, Variable Capital Company (VCC) setup, and MAS-compliant wealth management
- › Hong Kong Banking & Securities: SFC-regulated brokerage and banking services providing direct access to Asian capital markets
- › Offshore Banking: multi-currency accounts, fiduciary services, and banking relationships in leading offshore jurisdictions
- › Wealth Management Mandates: fully discretionary, advisory, and execution-only portfolio management in all major asset classes
- › Multi-Currency Treasury: FX hedging, cash management, and liquidity optimization across currencies and jurisdictions
- › Succession & Estate Planning: inter-generational wealth transfer strategies integrated with banking and investment mandates

### BroadNorth Legal & Corporate Advisory

Our corporate advisory team provides sophisticated legal and structuring solutions that protect, optimize, and perpetuate client wealth across generations and geographies. Working alongside leading international law firms and regulatory specialists, we design bespoke structures tailored to each client's unique objectives and risk tolerance.

- › Corporate Structuring: holding company design, operational entity optimization, and group structure rationalization for tax and legal efficiency
- › Offshore Entity Formation: BVI, Cayman, Mauritius, and other jurisdiction company incorporations with full secretarial and compliance support
- › Trust & Foundation Setup: discretionary and purpose trusts, Liechtenstein foundations, and STAR trusts for asset protection and succession planning
- › Cross-Border Compliance: CRS, FATCA, and BEPS compliance advisory and implementation for multi-jurisdictional clients and structures
- › Shareholder Agreements & JVs: drafting and negotiating complex multi-party agreements, shareholder protections, and joint venture structures
- › M&A Legal Due Diligence: comprehensive legal review, warranty and indemnity assessment, and transaction documentation management



## BroadNorth Global Citizenship — Investment Migration Advisory

Our citizenship and residency advisory division assists high-net-worth individuals and families in securing alternative citizenship and residency rights through qualifying investment programs. Our experienced team guides clients through every step of the process, from initial eligibility assessment through application preparation, due diligence, and final government approval.

- › Caribbean CBI Programs: St. Kitts & Nevis, Dominica, Grenada, Antigua & Barbuda, and Saint Lucia citizenship by investment
- › EU Residency Programs: Malta, Portugal Golden Visa, Greece Golden Visa, and other European residency by investment routes
- › Middle East Residency: UAE Golden Visa, Saudi Arabia Premium Residency, and Jordan investor residency programs
- › Pacific & Oceania: Vanuatu citizenship and other Pacific programs offering maximum visa-free access
- › Source of Funds Advisory: structuring and documentation of qualifying investment funds to meet program requirements
- › Second Passport Strategy: multi-passport portfolio planning for optimal global mobility, tax efficiency, and geopolitical diversification



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## INVEST WITH BROADNORTH

Institutional-Grade Returns · Minimum Entry: USD \$100,000

### 06 WHY INVEST WITH BROADNORTH

BroadNorth offers qualified investors access to a diversified, institutionally managed capital platform that has consistently delivered superior risk-adjusted returns across multiple market cycles over 27 years. Our investment programs are designed to provide the level of access, transparency, and personalized service that sophisticated investors demand — with a minimum entry point of USD \$100,000.

**27+**

*Years of Consistent  
Capital Growth Across  
Market Cycles*

**6,000%+**

*Cumulative Returns in  
Technology Investment  
Supercycles*

**\$500B+**

*Total Assets Under  
Management and  
Advisory Worldwide*

**9**

*Integrated Divisions  
Delivering Cross-Asset  
Diversification*

### Key Investment Advantages

- › Access to institutional-quality deal flow unavailable through retail or traditional private bank channels
- › Active portfolio management by seasoned professionals with decades of domain expertise across all asset classes
- › Genuine diversification across asset classes, geographies, currencies, and market cycles through nine integrated divisions
- › Full transparency through quarterly reporting, dedicated relationship managers, and 24/7 investor portal access
- › Co-investment rights for eligible investors to participate directly in select private equity and real estate transactions
- › Tailored mandates available for clients with specific ESG, sector, geographic, or return-profile preferences
- › Cross-divisional integration providing banking, legal structuring, and citizenship services under one institutional roof



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- › Confidential, relationship-driven service model with a named relationship manager for every account

**07 INVESTMENT PROGRAMS & TIER STRUCTURE**

BroadNorth welcomes investors beginning at a minimum commitment of USD \$100,000. Our tiered investment structure is designed to align access levels, service intensity, and return profiles with each investor's commitment size and long-term objectives. All tiers benefit from the full analytical and operational capabilities of BroadNorth's global platform.

**MINIMUM ENTRY: USD \$100,000 - All tiers include a dedicated Relationship Manager and quarterly reporting**

<b>CORE TIER</b> <b>\$100K – \$249K</b> Entry-Level Access	<b>GROWTH TIER</b> <b>\$250K – \$499K</b> Enhanced Access	<b>PREMIUM TIER</b> <b>\$500K – \$999K</b> Premium Suite	<b>ELITE TIER</b> <b>\$1M+</b> Full Institutional
<ul style="list-style-type: none"> <li>✓ Diversified fund allocation</li> <li>✓ Quarterly investor reports</li> <li>✓ Digital investor portal</li> <li>✓ Market commentary</li> <li>✓ Annual review meeting</li> <li>✓ Email support</li> </ul>	<ul style="list-style-type: none"> <li>✓ All Core benefits</li> <li>✓ Priority deal alerts</li> <li>✓ Enhanced reporting</li> <li>✓ Semi-annual reviews</li> <li>✓ FX hedging support</li> <li>✓ Phone support</li> </ul>	<ul style="list-style-type: none"> <li>✓ All Growth benefits</li> <li>✓ Co-investment rights</li> <li>✓ Tax structure advisory</li> <li>✓ Quarterly 1-on-1 calls</li> <li>✓ Legal doc support</li> <li>✓ Senior RM access</li> </ul>	<ul style="list-style-type: none"> <li>✓ All Premium benefits</li> <li>✓ Bespoke mandate design</li> <li>✓ Offshore banking setup</li> <li>✓ Citizenship advisory</li> <li>✓ Dedicated senior RM</li> <li>✓ Concierge service</li> </ul>

**Typical Portfolio Allocation Model**

Upon receipt of a qualifying investment commitment, BroadNorth's investment committee conducts a thorough analysis of current portfolio positioning and market conditions to determine optimal allocation across our diversified strategy mix. A balanced allocation for a new investor is typically structured as follows:

<b>ASSET CLASS / STRATEGY</b>	<b>TYPICAL ALLOCATION RANGE</b>
Public Equities & Hedge Fund Strategies	20% – 35%
Private Equity & Growth Capital	15% – 25%
Real Estate (Debt & Equity)	10% – 20%
Technology, AI & Venture Capital	10% – 20%
Fixed Income & Structured Products	10% – 15%
Energy & Infrastructure	5% – 15%
Cash, FX & Alternative Strategies	5% – 10%



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## 08 INVESTOR ONBOARDING — STEP BY STEP

BroadNorth's onboarding process is designed to be thorough, transparent, and entirely client-centric. We believe that long-term partnerships begin with deep mutual understanding of objectives, risk tolerance, and expectations. Our five-step onboarding journey ensures that every investor enters the BroadNorth platform with full confidence in their investment structure and strategy.

<b>STEP 1</b>	<b>Initial Enquiry &amp; Eligibility Assessment</b> Contact our team at <a href="mailto:cmc@broadnorth.com">cmc@broadnorth.com</a> or via <a href="http://www.broadnorth.com">www.broadnorth.com</a> . A senior relationship manager will respond within 24 business hours to conduct an initial suitability assessment and understand your investment objectives, timeline, and minimum commitment level (USD \$100,000 minimum).
<b>STEP 2</b>	<b>KYC &amp; AML Documentation</b> Provide standard KYC documentation including government-issued identification, proof of address, and source of funds declaration. All documentation is handled with strict confidentiality in accordance with our AML/CFT compliance framework and applicable regulatory requirements.
<b>STEP 3</b>	<b>Investment Mandate Discussion</b> Your dedicated relationship manager presents a tailored investment mandate proposal aligned with your risk profile, investment horizon, and return expectations. We discuss allocation strategies, reporting preferences, fee structures, and any specific ESG or thematic preferences you may have.
<b>STEP 4</b>	<b>Subscription Agreement &amp; Fund Transfer</b> Execute the investment subscription agreement and related documentation. Funds are transferred to designated institutional accounts via verified wire transfer channels. BroadNorth provides full wire instructions, confirmation receipts, and compliance sign-off at every stage.
<b>STEP 5</b>	<b>Portfolio Activation &amp; Reporting Commencement</b> Within five to ten business days of cleared funds, your portfolio is activated, positions are established according to the agreed mandate, and you gain access to the BroadNorth investor portal. Quarterly reporting commences immediately with your first statement issued at end of quarter.

### Investor Protections & Governance

- › Independent fund administration by Tier 1 international fund administrators ensuring NAV accuracy and segregation of client assets
- › Annual third-party audits of all fund vehicles with reports made available to investors in the relevant programs
- › Dedicated compliance and risk management teams operating independently of the investment management function
- › 24-hour investor portal access with real-time performance data, transaction records, and a complete document repository
- › Strict client confidentiality obligations and data protection compliance under applicable international privacy frameworks



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- › Named relationship manager and backup coverage ensuring continuous service to every investor account

**09 GLOBAL PRESENCE & MARKET REACH**

BroadNorth's geographic footprint spans six major financial centers and a network of offshore and partner jurisdictions that provide clients with seamless access to global capital markets, banking relationships, and regulatory frameworks. Our on-the-ground presence in key markets enables deep local knowledge combined with a truly global investment perspective.

<p><b>Washington, D.C.</b> Global Headquarters</p> <p>All divisions · Executive leadership · Regulatory HQ · Capital markets hub</p>	<p><b>Hong Kong</b> Asia-Pacific Hub</p> <p>Securities · Private banking · PE deal sourcing · Greater China access</p>	<p><b>Singapore</b> Southeast Asia Wealth Center</p> <p>Private banking · Family office · VCC structures · ASEAN markets</p>
<p><b>Switzerland</b> European Private Banking</p> <p>Swiss banking access · Discretionary mandates · Trust &amp; estate structures</p>	<p><b>Middle East</b> Sovereign &amp; Institutional Capital</p> <p>SWF relationships · Energy mega projects · UHNWI banking</p>	<p><b>Offshore Jurisdictions</b> Tax-Efficient Structuring</p> <p>BVI · Cayman · Mauritius · DIFC · IFC structuring and banking</p>

**10 RISK MANAGEMENT & INVESTMENT PHILOSOPHY**

Prudent risk management is the foundation of every investment decision BroadNorth makes. Our multi-layered risk framework is designed to protect client capital while enabling the firm to pursue attractive return opportunities across market cycles. We do not take undue risk in pursuit of returns — we engineer risk to align precisely with each client's mandate.

RISK PRINCIPLE	IMPLEMENTATION
<b>Portfolio Diversification</b>	Strict asset class, geographic, and sector concentration limits enforced at the portfolio level at all times
<b>Liquidity Management</b>	Tiered liquidity laddering ensures sufficient liquid assets to meet all obligations under stressed market conditions
<b>Stress Testing</b>	Regular Monte Carlo and historical scenario stress tests against 1987, 2000, 2008, and COVID-era shock scenarios
<b>Independent Risk Oversight</b>	Risk committee operates independently of investment management with direct board reporting authority
<b>Hedging Programs</b>	Active currency, interest rate, and equity hedging programs to manage macro and systematic exposures
<b>ESG Integration</b>	Environmental, social, and governance factors integrated into investment analysis across all relevant asset classes



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## 11 CLIENT PROFILE & RELATIONSHIPS

BroadNorth serves a distinguished global clientele spanning the full spectrum of sophisticated investors. Our client relationships are built on trust, transparency, and measurable results. We are proud to have maintained long-term partnerships with many of our clients across multiple investment cycles and life stages — a testament to the quality of service and returns we consistently deliver.

### HNWIs & UHNWIs

Entrepreneurs, executives, and inherited wealth clients seeking institutional-quality wealth management and multi-jurisdictional structuring.

### Family Offices

Single and multi-family offices accessing institutional deal flow, co-investment rights, and consolidated cross-asset reporting.

### Institutional Investors

Pension funds, endowments, insurance companies, and sovereign wealth funds requiring bespoke mandates.

### Governments & Corporates

Government entities, sovereign boards, and large corporates accessing capital markets, project finance, and M&A.

## What Our Clients Say

*"BroadNorth provided what our previous bank simply could not — a truly integrated private banking and investment experience. Their understanding of cross-border structuring and access to private equity deals has materially improved our family portfolio performance."*

— Family Office Principal, Singapore

*"We engaged BroadNorth for a major infrastructure mandate in the Gulf region. Their project finance expertise, sovereign relationships, and ability to close under tight timelines set them apart from every other advisor we considered. A world-class team."*

— Chief Investment Officer, Middle East Sovereign Fund



## BEGIN YOUR INVESTMENT JOURNEY TODAY

Minimum Investment: USD \$100,000 | Responses within 24 Business Hours

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*DISCLAIMER: This document is a corporate profile and does not constitute a solicitation or offer of investment services. All investment activities are subject to applicable regulations and licensing. Past performance is not indicative of future results. A minimum commitment of USD \$100,000 is required to participate in BroadNorth investment programs. Investments carry risk and capital may be at risk.*